

Illinois Economic and Fiscal Commission

**FY 2004
FEE AND PENALTY INCREASES
*Public Act 93-0032 (SB 1903)***



September 2003
703 Stratton Building
Springfield, IL 62706

Illinois Economic and Fiscal Commission

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TABLE OF CONTENTS

| | <u>PAGE</u> |
|--|-------------|
| EXECUTIVE SUMMARY | i |
| SECTION I: Fee and Penalty Increases | |
| Department of Agriculture | 1 |
| Department of Insurance | 2-12 |
| Department of Natural Resources | 13 |
| Department of Public Health | 14 |
| Environmental Protection Agency | 15-21 |
| Illinois Commerce Commission | 22 |
| Department of Financial Institutions | 23-24 |
| Office of Banks and Real Estate | 25 |
| Department of Revenue | 26-27 |
| Secretary of State | 28-34 |
| Illinois Industrial Commission | 35 |
| State Treasurer/Illinois State Police | 36 |
| Capital Development Board | 37 |
| SECTION II: Transfers to General Revenue Fund | |
| Detailed Breakout of Fund Transfers | 39-40 |
| SECTION III: Other Fund Transfers | |
| State Finance Revenues Act | 42 |
| State Finance Revenues Act: Additional Transfers | 43 |

EXECUTIVE SUMMARY

Many states increased fees to offset budget shortfalls in 2003. According to the National Council of State Legislators, 30 states raised more than 200 fees for nearly \$2.6 billion in new revenue. (source NCSL)

For fiscal year 2004 the Illinois General Assembly passed legislation to enhance revenue to deal with the current Illinois budget situation. SB 1903 passed both chambers and was signed into law by the Governor on June 20, 2003 becoming Public Act 93-0032. This Act contains many provisions that will increase numerous fees and penalties and provide for the transfer of funds in an effort to generate additional revenue. Listed below are the highlights of the various components of Public Act 93-0032:

- To address a shortfall in revenue, hundreds of millions of dollars in various funds will be transferred both into and out of the General Revenue Fund as deemed necessary. (See Section II and III: Fund Transfers)
- Public Act 93-0032 grants additional authority to the Director of the Governor's Office of Management and Budget. Public Act 93-0032 authorizes the Director of the Governor's Office of Management and Budget to direct the State Comptroller and the State Treasurer to transfer funds from any fund held by the State Treasurer to the General Revenue Fund. With certain exception, the total amount transferred from any fund cannot exceed the lesser of 8% of the revenues to be deposited into that fund during the fiscal year or 25% of the beginning balance in the fund.
- The majority of Public Act 93-0032 consists of various fee and penalty increases. According to the Illinois Budget in Brief document released by the Governor's Office of Management and Budget, there are almost 1,500 fees that are collected by the State of Illinois. Attached is a detailed list of the more than 300 fees and penalties that have been increased. The additional amounts generated by the fee increases will be allocated between the General Revenue Fund and the fund otherwise entitled to receive the fee. The allocation will be determined by the Director of the Governor's Office of Management and Budget. The Governor's Office of Management and Budget estimates the fee increases will generate \$318.9 million in additional revenue.

According to the Illinois State Budget in Brief, the Department of Revenue will be charged with the collection of almost 1,500 non-tax fees that the State of Illinois collects. The Department of Revenue has indicated the fee collection consolidation will not begin until January 2004, and will be phased in over the fiscal year. Under the Governor's fee collection consolidation initiative, the Department of Revenue will:

- Assure full and consistent appliance of and compliance with fee requirements.
- Standardize and distribute payment forms to agencies.
- Receive, record, and deposit payments with a highly automated, centralized system.
- Notify agencies of payment credit.
- Track, prioritize, and collect late payments with greater focus than individual agencies.

- Monitor fee levels to provide competitive analysis and market considerations for user services.

To accurately track fee increases, the Illinois Economic and Fiscal Commission will rely upon the various State agencies to provide estimates and statistical data to the Commission in accordance with 25 ILCS 155/6. This Act requires all State officer's and agencies to render to the Commission any assistance that may be required for the purpose of preparing reports and recommendations for the General Assembly. The Commission requested the assistance of various State agencies in the formulation of revenue estimates from the fee and penalty increases included in this report. The Illinois Department of Revenue will be utilized extensively in the future to provide actual figures for these fee increases.

The Governor's Office of Management and Budget projects that the fee increases proposed in P.A. 93-0032 will generate an additional \$318.9 million in revenue; while information provided to IEFC totals \$302.0 million. Listed below are the revenue projections from the Governor's Office of Management and Budget and the Commission broken down by agency:

| | GOMB | IEFC |
|---|------------------------|-----------------------------|
| Illinois Environmental Protection Agency | \$55 million | \$49.8 million |
| Illinois Commerce Commission | \$2.6 million | \$1.4 million |
| Industrial Commission | \$31 million | \$30.6 million |
| Department of Insurance | \$16.6 million | \$19.4 million |
| Illinois State Police/State Treasurer | \$11.0 million | \$5.0 million ¹ |
| Department of Natural Resources | \$1.2 million | \$2.1 million |
| *Office of the State Fire Marshall | \$1.1 million | \$1.1 million ² |
| Office of Banks and Real Estate | \$25.4 million | \$25.8 million ³ |
| Department of Revenue | \$40.7 million | \$33.7 million |
| Secretary of State | \$122.3 million | \$121.4 million |
| Department of Agriculture | \$2.2 million | \$2.2 million |
| Capital Development Board | \$3.5 million | \$3.5 million |
| Illinois Department of Financial Institutions | \$4.6 million | \$4.5 million |
| Department of Public Health | \$1.7 million | \$1.5 million |
| Total | \$318.9 million | \$302.0 million |

*Not included in SB 1903 (P.A. 93-0032)

¹Categorized under the Illinois State Police by the Governor's Office of Management and Budget. However, according to (P.A. 93-0032) the Office of the Treasurer will be charged with the collection of these fees as they are remitted by the various Circuit Clerks to the State. Estimates for this fee were provided by the Office of the Treasurer.

²The fee increase for the Office of the State Fire Marshall was not included in S.B. 1903 (P.A. 93-0032). In order to estimate a total, the IEFC used estimates from the Governor's Office of Management and Budget.

³S.B. 1903 (P.A. 93-0032) only contained approximately \$2 million of the total \$25.4 million estimated new revenue for the Office of Banks and Real Estate. According to the Governor's Office of Management and Budget, \$23.4 million will be generated by rule changes.

SECTION I

FEE AND PENALTY INCREASES

| DEPT. OF AGRICULTURE | | | | | |
|--|----------------------|---------------|------------------------------|---------------------------------|----------------------|
| SB 1903 – P.A. 93-0032 | | | | | |
| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
| Weights and Measurers Serviceperson Certificate Fee | 225 ILCS 470/8.1 | AG | \$5 | \$25 | \$1,100,000.00 |
| Weights and Measures annual fee for a special sealer certificate | 225 ILCS 470/8.1 | AG | \$25 | \$50 | See Above |
| Weights and Measurers Service Agency Certificate | 225 ILCS 470/8.1 | AG | \$25 | \$50 | See Above |
| Annual registration of a pesticide product | 415 ILCS 60/6 | AG | \$130 per product | \$200 per product | \$700,000.00 |
| Business registration fee of a pesticide product | 415 ILCS 60/6 | AG | \$300 | \$400 | See Above |
| Annual experimental use permit and special local needs permit | 415 ILCS 60/6 | AG | \$130 per permit | \$200 per permit | See Above |
| Penalty for late registration of a pesticide product | 415 ILCS 60/6 | AG | \$200 per product | \$300 per product | See Above |
| Commercial feed distribution fee | 505 ILCS 30/6 | AG | 16 cents per ton | 20 cents per ton | \$100,000.00 |
| For pet food and specialty pet food which are distributed in the State in packages of 10 pounds or less | 505 ILCS 30/6 | AG | \$50 | \$75 | See Above |
| Fertilizer custom mixer fee | 505 ILCS 80/4 | AG | \$25 or \$5 per mixture | \$50 or \$10 per mixture | \$100,000.00 |
| Registration for each brand and grade of fertilizer | 505 ILCS 80/4 | AG | \$5 per grade within a brand | \$10 for a grade within a brand | See Above |
| For the inspection of commercial fertilizers and custom mixture fee | 505 ILCS 80/6 | AG | .20 cents per ton | .25 cents per ton | \$200,000.00 |
| For the inspection of commercial fertilizers or custom mixes containing 5 lbs. or less, or if in liquid form less than 4,000 cubic centimeters | 505 ILCS 80/6 | AG | .20 cents per ton | .25 cents per ton | See Above |
| For the inspection of commercial fertilizers or custom mixtures larger than 5 lbs. Or 4,000 cubic centimeters | 505 ILCS 80/6 | AG | .20 cents per ton | .25 cents per ton | See Above |
| Department Totals | | | | | \$2,200,000 |

| DEPT. OF INSURANCE | | | | | |
|---|--------------------------|---------------|--|--|----------------------|
| SB 1903 – P.A. 93-0032 | | | | | |
| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
| Fee for filing an application as an advisory or ganization | 215 ILCS 5/123A-4 | DOI | \$25 | \$50 | * |
| For issuance of eligibility to issue a workers' compensation and employers' liability insurance policy | 215 ILCS 100/55 | DOI | \$500 | \$1,000 | * |
| Penalty for noncompliance with the Reinsurance Intermediary Act | 215 ILCS 100/55 | DOI | Not to exceed \$50,000 | Not to exceed \$100,000 | * |
| Health Care Purchasing Group Fee | 215 ILCS 123/20 | DOI | \$100 | \$200 | * |
| Service contract provider Registration Fee | 215 ILCS 152/25 | DOI | \$500 for initial fee and \$75 each year thereafter | \$1,000 for initial fee and \$150 each year thereafter | * |
| Application for a Viatical Settlement Provider | 215 ILCS 158/10 | DOI | \$1,500 | \$3,000 | * |
| Annual renewal fee for Viatical Settlement Provider | 215 ILCS 158/10 | DOI | \$750 | \$1,500 | * |
| For filing trust amendments and instruments | 215 ILCS 5/1108 | DOI | \$25 | \$50 | * |
| For copies of papers or records | 215 ILCS 5/1108 | DOI | \$1 | \$2 | * |
| For certificate to copy of paper | 215 ILCS 5/1108 | DOI | \$5 | \$10 | * |
| Filing for risk retention trust | 215 ILCS 5/1108 | DOI | \$500 | \$1,000 | * |
| Penalty when a hearing results in the finding of a knowing violation | 215 ILCS 5/1020 | DOI | \$500 for each violation but not to exceed \$10,000 | \$1,000 for each violation but not to exceed \$20,000 | * |
| Penalty when company violates a cease and desist order | 215 ILCS 5/1020 | DOI | No more than \$10,000 for each violation | No more than \$20,000 for each violation | * |
| Penalty when company violates a cease and desist order, and the Director of the Dept. of Insurance determines that violations have occurred with such frequency as to constitute a general business practice | 215 ILCS 5/1020 | DOI | No more than \$50,000 | No more than \$100,000 | * |
| Penalty for willfully or repeatedly failing to comply with the article requiring each insurer offering property or casualty insurance to record and report its loss and expense experience, et al. | 215 ILCS 5/1204 | DOI | Not to exceed \$1,000 | No to exceed \$2,000 | * |
| Maximum forfeiture imposed by Section 1204 | 215 ILCS 5/1204 | DOI | Not to exceed \$50,000 | Not to exceed \$100,000 | * |
| Unauthorized insurer penalty | 215 ILCS 5/121-19 | DOI | \$10,000 | \$20,000 | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|------------------------------|------------|---|--|---------------|
| Risk retention group fee | 215 ILCS 5/123B-4 | DOI | \$100 | \$200 | * |
| Filing fee for captive Insurance Co. | 215 ILCS 5/123C-17 | DOI | \$3,500 | \$7,000 | * |
| Change in plans for operations fee | 215 ILCS 5/123C-17 | DOI | \$100 | \$200 | * |
| Civil Forfeiture penalty ceiling for violating Act | 215 ILCS 5/131.24 | DOI | Not more than \$50,000 per violation | Not more than \$100,000 per violation | * |
| Insurance business offense fine increase | 215 ILCS 5/131.24 | DOI | Up to \$250,000 | Up to \$500,000 | * |
| Individual criminal fine for violating act | 215 ILCS 5/131.24 | DOI | Up to \$250,000 | Up to \$500,000 | * |
| Fine for false reports, statements or filings with the intent to deceive the Director | 215 ILCS 5/131.24 | DOI | Up to \$250,000 | Up to \$500,000 | * |
| Penalty for a managing general agent who does not comply | 215 ILCS 5/141a | DOI | Not more than \$50,000 per violation | Not more than \$100,000 per violation | * |
| Penalty for misrepresenting the terms of a policy | 215 ILCS 5/149 | DOI | Not less than \$100 but no more than \$5,000 | Not less than \$200 but no more than \$10,000 | * |
| Penalties imposed on domestic societies | 215 ILCS 5/310.1 | DOI | Sum not exceeding \$5,000 | Sum not exceeding \$10,000 | * |
| Penalties against a person who willfully makes false statements in or related to an application or for the purpose of obtaining money from, or a benefit | 215 ILCS 5/315.4 | DOI | Not less than \$100 but no more than \$5,000 | Not less than \$200 but no more than \$10,000 | * |
| Penalty for a person who solicits membership for any society not licensed to do business in this State | 215 ILCS 5/315.4 | DOI | Not less than \$50 no more than \$200 | Not less than \$100 no more than \$400 | * |
| Any other penalty under this act which isn't already prescribed | 215 ILCS 5/315.4 | DOI | \$5,000 | \$10,000 | * |
| Bond requirement for officers of associations | 215 ILCS 5/325 | DOI | \$1,000 | \$2,000 | * |
| Penalties for companies who have been found in violation of this section dealing with Supplemental Medicaid Insurance | 215 ILCS 5/363a | DOI | Not less than \$250 and no more than \$2,500 | Not less than \$500 and no more than \$5,000 | * |
| Civil penalty for advertising a Medicaid supplement that is not approved by the Director of the Dept. of Insurance | 215 ILCS 5/363a | DOI | \$5,000 | \$10,000 | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|--|------------------------|------------|------------------------------|------------------------------|---------------|
| Petty offense fine associated with non-compliance with this article | 215 ILCS 5/370 | DOI | Not exceeding \$500 | Not exceeding \$1,000 | * |
| Penalty against a person subpoenaed who fails to comply with the subpoena | 215 ILCS 5/403 | DOI | Not exceeding \$1,000 | Not exceeding \$2,000 | * |
| Fine for failing to comply with code | 215 ILCS 5/403A | DOI | Not exceeding \$1,000 | Not exceeding \$2,000 | * |
| Maximum forfeiture imposed in a civil penalty | 215 ILCS 5/403A | DOI | \$250,000 | \$500,000 | * |
| Fee charged by Director of Dept. of Insurance with the Director as attorney for such service | 215 ILCS 5/408 | DOI | \$10 | \$20 | * |
| Incorporation article fee | 215 ILCS 5/408 | DOI | \$1,000 | \$2,000 | * |
| Organization of Fraternal Benefit Society | 215 ILCS 5/408 | DOI | \$250 | \$500 | * |
| Amending article Fee | 215 ILCS 5/408 | DOI | \$100 | \$200 | * |
| Amending articles by a fraternal benefit society | 215 ILCS 5/408 | DOI | \$50 | \$100 | * |
| Farm Mutual Fee | 215 ILCS 5/408 | DOI | \$25 | \$50 | * |
| Bylaw or amendment | 215 ILCS 5/408 | DOI | \$25 | \$50 | * |
| Merger or Consolidation fee for a domestic company | 215 ILCS 5/408 | DOI | \$1,000 | \$2,000 | * |
| Foreign or Alien Government Fee | 215 ILCS 5/408 | DOI | \$300 | \$600 | * |
| For a Fraternal Benefit society | 215 ILCS 5/408 | DOI | \$100 | \$200 | * |
| Filing Fee for foreign or alien companies to conduct business in Illinois | 215 ILCS 5/408 | DOI | \$2,500 | \$5,000 | * |
| Foreign or Alien Fraternal Benefit Society | 215 ILCS 5/408 | DOI | \$250 | \$500 | * |
| Filing Declaration of Withdraw for an alien insurance company | 215 ILCS 5/408 | DOI | \$25 | \$50 | * |
| Filing annual statement fee | 215 ILCS 5/408 | DOI | \$100 | \$200 | * |
| Filing annual statement for a Farm Mutual | 215 ILCS 5/408 | DOI | \$25 | \$50 | * |
| Certificate of Authority to renew | 215 ILCS 5/408 | DOI | \$100 | \$200 | * |
| Certificate of Authority to renew for a Fraternal Benefit Society | 215 ILCS 5/408 | DOI | \$50 | \$100 | * |
| Amended Certificate of Authority | 215 ILCS 5/408 | DOI | \$25 | \$50 | * |
| Certified Copy of Certificate of Authority | 215 ILCS 5/408 | DOI | \$10 | \$20 | * |
| Each certificate of deposit, or valuation, or compliance | 215 ILCS 5/408 | DOI | \$10 | \$20 | * |
| Issuing permit to sell shares or paid up capital in connection with a public stock offering | 215 ILCS 5/408 | DOI | \$150 | \$300 | * |
| In any other case | 215 ILCS 5/408 | DOI | \$50 | \$100 | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|----------------|---------------|-----------------|----------------|----------------------|
| Insurance of any other certificate required or permissible bylaw | 215 ILCS 5/408 | DOI | \$25 | \$50 | * |
| For filing a plan of change of stock of a domestic insurance company or a plan of reorganization | 215 ILCS 5/408 | DOI | \$1,000 | \$2,000 | * |
| Filing a State of Acquisition of a domestic company | 215 ILCS 5/408 | DOI | \$1,000 | \$2,000 | * |
| For filing a plan to purchase an organization under the Dental Service Plan Volunteer Health Service Plan, or a Health Maintenance Organization | 215 ILCS 5/408 | DOI | \$1,000 | \$2,000 | * |
| For acquisition of a foreign or alien insurance company | 215 ILCS 5/408 | DOI | \$500 | \$1,000 | * |
| Filing a registration statement which designates the Director as its agent for the purpose of receiving service of legal documents | 215 ILCS 5/408 | DOI | \$100 | \$200 | * |
| Filing for an application for licensing of a religious organization pooling trust or a workers' compensation pool | 215 ILCS 5/408 | DOI | \$500 | \$1,000 | * |
| Filing for an application for a worker's compensation service company | 215 ILCS 5/408 | DOI | \$250 | \$500 | * |
| Filing for a self insured automobile fleet | 215 ILCS 5/408 | DOI | \$100 | \$200 | * |
| Renewal Fee for renewal or amendment of any license issued | 215 ILCS 5/408 | DOI | \$50 | \$100 | * |
| Filing articles of incorporation for a syndicate | 215 ILCS 5/408 | DOI | \$1,000 | \$2,000 | * |
| For filing amended articles of incorporation for a syndicate | 215 ILCS 5/408 | DOI | \$50 | \$100 | * |
| Filing articles of incorporation for a limited syndicate | 215 ILCS 5/408 | DOI | \$500 | \$1,000 | * |
| For filing amended articles of incorporation for a limited syndicate | 215 ILCS 5/408 | DOI | \$50 | \$100 | * |
| For a permit to solicit subscriptions to a syndicate or limited syndicate | 215 ILCS 5/408 | DOI | \$50 | \$100 | * |
| For filing each form | 215 ILCS 5/408 | DOI | \$25 | \$50 | * |
| Fee for advisory and rating organizations | 215 ILCS 5/408 | DOI | \$100 | \$200 | * |
| For filing an application for licensing of an intermediary | 215 ILCS 5/408 | DOI | \$250 | \$500 | * |
| Renewal Fee for reinsurance intermediary | 215 ILCS 5/408 | DOI | \$100 | \$200 | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|--|----------------|---------------|-----------------|----------------|----------------------|
| Annual financial regulation fees paid by every domestic company for examination if the premium is less than \$500,000 and there is no reinsurance assumed premium | 215 ILCS 5/408 | DOI | \$100 | \$150 | * |
| Annual financial regulation fees paid by every domestic company for examination if the premium is more than \$500,000 but less than \$5,000,000 and there is no reinsurance premium, or if the premium is less than \$5,000,000 and the reinsurance assumed risk is less than \$10,000,000 | 215 ILCS 5/408 | DOI | \$500 | \$750 | * |
| Annual financial regulation fees paid by every domestic company for examination if the premium is less than \$5,000,000 and the reinsurance assumed premium is less than \$10,000,000 | 215 ILCS 5/408 | DOI | \$2,500 | \$3,750 | * |
| Annual financial regulation fees paid by every domestic company for examination if the premium is \$5,000,000 or more but less than \$10,000,000 | 215 ILCS 5/408 | DOI | \$5,000 | \$7,500 | * |
| Annual financial regulation fees paid by every domestic company for examination if the premium is \$10,000,000 or more, but less than \$25,000,000 | 215 ILCS 5/408 | DOI | \$12,000 | \$18,000 | * |
| Annual financial regulation fees paid by every domestic company for examination if the premium is \$25,000,000 or more, but less than \$50,000,000 | 215 ILCS 5/408 | DOI | \$15,000 | \$22,500 | * |
| Annual financial regulation fees paid by every domestic company for examination if the premium is \$50,000,000 or more, but less than \$100,000,000 | 215 ILCS 5/408 | DOI | \$20,000 | \$30,000 | * |
| Annual financial regulation fees paid to every domestic company for examination if the premium is \$100,000,000 or more | 215 ILCS 5/408 | DOI | \$25,000 | \$37,500 | * |
| Fees on admitted assets if assets are less than \$1,000,000 | 215 ILCS 5/408 | DOI | \$100 | \$150 | * |
| Fees on admitted assets if assets are more than \$1,000,000 but less than \$5,000,000 | 215 ILCS 5/408 | DOI | \$500 | \$750 | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|----------------|---------------|-----------------|----------------|----------------------|
| Fees on admitted assets if assets are more than \$5,000,000 but less than \$25,000,000 | 215 ILCS 5/408 | DOI | \$2,500 | \$3,750 | * |
| Fees on admitted assets if assets are more than \$25,000,000 but less than \$50,000,000 | 215 ILCS 5/408 | DOI | \$5,000 | \$7,500 | * |
| Fees on admitted assets if assets are more than \$50,000,000 but less than \$100,000,000 | 215 ILCS 5/408 | DOI | \$12,000 | \$18,000 | * |
| Fees on admitted assets if assets are more than \$100,000,000 but less than \$500,000,000 | 215 ILCS 5/408 | DOI | \$15,000 | \$22,500 | * |
| Fees on admitted assets if assets are more than \$500,000,000 but less than \$1,000,000,000 | 215 ILCS 5/408 | DOI | \$20,000 | \$30,000 | * |
| Fees on admitted assets if assets are more than \$1,000,000,000 | 215 ILCS 5/408 | DOI | \$25,000 | \$37,500 | * |
| Maximum sum of the financial regulation fees charged to domestic companies of the same affiliated group | 215 ILCS 5/408 | DOI | \$100,000 | \$250,000 | * |
| Fees charged to foreign or alien companies for the costs of examination and analysis of its financial condition if the premium income is less than \$500,000 and there is no reinsurance assumed premium | 215 ILCS 5/408 | DOI | \$100 | \$150 | * |
| Fees charged to foreign or alien companies for the costs of examination and analysis of its financial condition if the premium income is more than \$500,000 but less than \$5,000,000 and there is no reinsurance assumed premium is less than \$5,000,000 and the reinsurance assumed premium is \$10,000,000 or more | 215 ILCS 5/408 | DOI | \$500 | \$750 | * |
| Fees charged to foreign or alien companies for the costs of examination and analysis of its financial condition if the premium income is less than \$5,000,000 and the reinsurance assumed premium is \$10,000,000 or more | 215 ILCS 5/408 | DOI | \$2,500 | \$3,750 | * |
| Fees charged to foreign or alien companies for the costs of examination and analysis of its financial condition if the premium income is more than \$5,000,000 but less than \$10,000,000 | 215 ILCS 5/408 | DOI | \$5,000 | \$7,500 | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|-----------------------|---------------|---|---|----------------------|
| Fee charged to foreign or alien companies for the costs of examination and analysis of its financial condition if the premium income is more than \$10,000,000 but less than \$25,000,000 | 215 ILCS 5/408 | DOI | \$12,000 | \$18,000 | * |
| Fees charged to foreign or alien companies for the costs of examination and analysis of its financial condition if the premium income is more than \$25,000,000 but less than \$50,000,000 | 215 ILCS 5/408 | DOI | \$15,000 | \$22,500 | * |
| Fees charged to foreign or alien companies for the costs of examination and analysis of its financial condition if the premium income is more than \$50,000,000 but less than \$100,000,000 | 215 ILCS 5/408 | DOI | \$20,000 | \$30,000 | * |
| Fees charged to foreign or alien companies for the costs of examination and analysis of its financial condition if the premium income is more than \$100,000,000 | 215 ILCS 5/408 | DOI | \$25,000 | \$37,500 | * |
| Maximum sum of the financial regulation fees charged to foreign companies of the same affiliated group | 215 ILCS 5/408 | DOI | \$100,000 | \$250,000 | * |
| Fees for an organization or person not subject to financial regulation | 215 ILCS 5/408 | DOI | \$100 | \$150 | * |
| Additional Penalty when any insurance company or any surplus line producer fails to file any tax returns | 215 ILCS 5/412 | DOI | \$200 or 5% of the amount taxed whichever is greater | \$400 or 10% of amount taxed whichever is greater | * |
| Maximum penalty amount imposed on insurance companies who fail to file any tax returns | 215 ILCS 5/412 | DOI | \$1,000 or 25% of the tax due whichever is greater | \$2,000 or 50% of the tax due whichever is greater | * |
| Penalty when any insurance company or any surplus line producer fails to pay the full amount | 215 ILCS 5/412 | DOI | 5% of the deficiency | 10% of the deficiency | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|-----------------------|---------------|--|--|----------------------|
| Penalty for the willful failure to pay | 215 ILCS 5/412 | DOI | 25% of the deficiency or 5% of the amount due and unpaid for each month or part of a month that the deficiency remains unpaid | 50% of the deficiency or 10% of the amount due and unpaid for each month or part of a month that the deficiency remains unpaid | * |
| Penalty imposed if any insurance company fails to pay the full amount of any fee | 215 ILCS 5/412 | DOI | Failure to pay any fee of \$100 or more there will be a penalty of \$50 or an amount equal to 5% of the deficiency for each month or part of a month that the deficiency remains unpaid | Failure to pay any fee of \$200 or more there will be a penalty of \$100 or an amount equal to 10% of the deficiency for each month or part of a month that the deficiency remains unpaid | * |
| Penalty for violating a cease and desist order | 215 ILCS 5/412 | DOI | Not to exceed \$500 | Not to exceed \$1,000 | * |
| Nonrefundable fee for pre-licensing course of study | 215 ILCS 5/445 | DOI | \$10 | \$20 | * |
| Annual license fee for surplus line insurer | 215 ILCS 5/445 | DOI | \$200 | \$400 | * |
| Surplus line taxes and penalty for late payment | 215 ILCS 5/445 | DOI | 3% of the gross premiums less returned premiums on all surplus line insurance procured or cancelled during the preceding 6 months | 3.5% of the gross premiums less returned premiums on all surplus line insurance procured or cancelled during the preceding 6 months | * |
| Civil penalty that could result from the suspension or revocation imposed on a surplus line producer | 215 ILCS 5/445 | DOI | \$1,000 | \$2,000 | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|---------------------------|------------|--|--|---------------|
| Penalty imposed on any insurance provider which after review by the Dept. of Insurance violates any part of the recommendation or written orders of the Director | 215 ILCS 5/500-110 | DOI | Not more than \$10,000 | Not more than \$20,000 | * |
| Reinstatement fee for a licensee whose license is on inactive status | 215 ILCS 5/500-120 | DOI | \$50 plus the current applicable license fee | \$100 plus the current applicable license fee | * |
| Insurance Producer License Fee | 215 ILCS 5/500-135 | DOI | \$150 every two years | \$180 every two years for Illinois resident, \$250 once every two years for a person who is not a resident | * |
| Temporary Insurance Producer License | 215 ILCS 5/500-135 | DOI | \$25 | \$50 | * |
| Business Entity Fee | 215 ILCS 5/500-135 | DOI | \$50 every two years | \$150 every two years | * |
| Limited Line Producer License | 215 ILCS 5/500-135 | DOI | \$25 | \$50 | * |
| Application Fee to take written examination | 215 ILCS 5/500-135 | DOI | \$25 | \$50 | * |
| Annual Registration for an education provider | 215 ILCS 5/500-135 | DOI | \$500 | \$1,000 | * |
| Certification Fee for continuing education course | 215 ILCS 5/500-135 | DOI | \$25 | \$50 | * |
| Renewal of Certification annually | 215 ILCS 5/500-135 | DOI | \$10 | \$20 | * |
| Car Rental Limited Line license | 215 ILCS 5/500-135 | DOI | \$50 every two years | \$180 for a person who is a IL resident every two years \$250 for a person who is not a resident every two years | * |
| For other limited line license | 215 ILCS 5/500-135 | DOI | \$150 every two years | \$200 every two years | * |
| Civil penalty for a denial, suspension, or revocation of a license for an insurance producer | 215 ILCS 5/500-70 | DOI | Penalty up to \$5,000 but no more than \$20,000 | Penalty up to \$10,000 but no more than \$100,000 | * |
| Filing Fee | 215 ILCS 5/511.103 | DOI | \$100 | \$200 | * |
| Annual Fee for license | 215 ILCS 5/511.103 | DOI | \$100 | \$200 | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|---------------------------|------------|--|---|----------------------|
| Fine imposed if the Director finds one or more grounds exist for the revocation or suspension of an administrators license | 215 ILCS 5/511.110 | DOI | Up to \$5,000 for each violation, can not exceed \$25,000 | Up to \$10,000 for each violation, can not exceed \$50,000 | * |
| Public Insurance Adjuster license fee | 215 ILCS 5/512.63 | DOI | \$30 | \$100 | * |
| Registration of Firms | 215 ILCS 5/512.63 | DOI | \$20 | \$100 | * |
| Application Fee to take adjusters exams | 215 ILCS 5/512.63 | DOI | \$10 | \$20 | * |
| Premium Finance License | 215 ILCS 5/513a4 | DOI | \$200 | \$400 | * |
| Additional penalties for revocation, denial or suspension | 215 ILCS 5/513a7 | DOI | \$1,000 | \$2,000 | * |
| Fee for copy of Illinois Fair Plan | 215 ILCS 5/529.5 | DOI | \$5 | \$10 | * |
| Fine for failing to comply with an assessment or a plan of operation | 215 ILCS 5/544 | DOI | \$100 per month | \$200 per month | * |
| Pension Compliance Fee | 40 ILCS 5/1A-112 | DOI | .007% of total assets of Pension Fund not to exceed \$6,000 | .02% of assets up to \$8,000 | * |
| Total Estimated New Revenue | | | | | \$19,444,777* |
| *Because the Department of Insurance does not track fees by statute it was not possible to place new revenue projections in the format of this report. However, a worksheet was provided by the DOI to assist the Commission with revenue estimates. Following is the Department's list of new estimated revenue. | | | | | |

| DEPT. OF INSURANCE | |
|--|-------------------------------|
| FY 2004 Estimated New Revenue | |
| | FY 2004 Estimated New Revenue |
| Annual Statement Fee | \$69,400 |
| Corporate Document | \$72,625 |
| Certificate Fee | \$110,782 |
| Policy Form Filing | \$288,397 |
| Miscellaneous (Summons) | \$35,000 |
| Cost Containment | \$0 |
| Annual Statement Fee | \$104,225 |
| Surplus Lines Licenses | \$100,400 |
| Corporate Document | \$141,165 |
| Certificate Fees | \$195,767 |
| Policy Form Filings | \$558,558 |
| Rcpt. And Etc. | \$3,883 |
| Miscellaneous (Summons) | \$15,695 |
| Domestic Fin. Regulation | \$1,400,000 |
| Domestic Fin. Regulation Fee | \$965,750 |
| Foreign Fin. Regulation Fee | \$2,000,000 |
| Foreign Fin. Regulation Fee | \$1,797,400 |
| Financial Exam Travel | \$0 |
| Total Financial Regulation | \$7,859,047 |
| Producer – Licenses | \$5,307,410 |
| Producer – Reinstatement Fines | \$0 |
| Producer – Appointment Fees | \$479,650 |
| Producer – Provider Education Fee | \$236,415 |
| Market Conduct Exams | \$425,250 |
| Producer – Fines and Penalty | \$0 |
| Market Conduct Travel | \$0 |
| Producer – Miscellaneous | \$45,000 |
| Total Producer Administration | \$6,493,725 |
| Public Pension Fees | \$971,400 |
| Total Pension Regulation | \$971,400 |
| Surplus Line Tax | \$4,120,605 |
| Estimated Total for New Revenue FY 2004 | \$19,444,777 |

DEPT. OF NATURAL RESOURCES
SB 1903 – P.A. 93-0032

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|--|-----------------|---------------|-----------------|----------------|----------------------|
| Fee for identification registration for watercraft 16 feet or more but less than 26 feet | 625 ILCS 45/3-2 | DNR | \$20 | \$45 | \$1,769,475 |
| Fee for identification registration for watercraft 26 feet or more but less than 40 feet | 625 ILCS 45/3-2 | DNR | \$25 | \$75 | \$261,750 |
| Fee for identification registration for watercraft 40 feet or more | 625 ILCS 45/3-2 | DNR | \$30 | \$100 | \$38,160 |
| Fee for lost registration decal for watercraft | 625 ILCS 45/3-7 | DNR | \$1 | \$5 | \$21,160 |
| Department Total | | | | | \$2,100,545 |

DEPT. OF PUBLIC HEALTH
SB 1903 - P.A. 93-0032

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|------------------------------|-------------------|---------------|--|---|----------------------|
| Nursing Home Application Fee | 210 ILCS 45/3-103 | IDPH | \$200/year or \$400 for a two year license | \$500 for 0-49 beds, \$750 for 50-99 beds, \$1,000 for more than 100 beds | \$1,462,215 |
| Department Totals | | | | | \$1,462,215 |

ENVIRONMENTAL PROTECTION AGENCY
SB 1903 – P.A. 93-0032

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|--|------------------------|---------------|-----------------|----------------|----------------------|
| Sewer construction with a design population of 1 | 415 ILCS 5/12.2 | IEPA | \$50 | \$100 | \$600 |
| Sewer construction with a design population of 2 to 20 | 415 ILCS 5/12.2 | IEPA | \$200 | \$400 | \$49,600 |
| Sewer construction with a design population greater than 20 but less than 101 | 415 ILCS 5/12.2 | IEPA | \$400 | \$800 | \$176,400 |
| Sewer construction with a design population greater than 100 but less than 500 | 415 ILCS 5/12.2 | IEPA | \$600 | \$1,200 | \$318,600 |
| Sewer construction with a design of 500 or more | 415 ILCS 5/12.2 | IEPA | \$1,200 | \$2,400 | \$121,200 |
| Fee for any industrial wastewater source that does not require pretreatment of the wastewater prior to discharge | 416 ILCS 5/12.2 | IEPA | | \$1,000 | \$50,000 |
| Fee for any industrial wastewater source that does require pretreatment of the wastewater for nontoxic pollutants prior to discharge | 415 ILCS 5/12.2 | IEPA | | \$3,000 | \$195,000 |
| Fee for any industrial wastewater source that requires pretreatment for the wastewater for toxic pollutants | 415 ILCS 5/12.2 | IEPA | | \$6,000 | \$750,000 |
| Construction related to land application of industrial sludge or spray irrigation | 415 ILCS 5/12.5 New | IEPA | | \$2,500 | \$25,000 |
| Public owned treatment facilities with a Design Average flow rate of less than 100,000 gallons | 415 ILCS 5/12.5 New | IEPA | | \$1,500 | \$1,204,500 |
| Public owned treatment facilities with a Design Average flow rate at least 100,000 gallons but less than 500,000 per day | 415 ILCS 5/12.5 New | IEPA | | \$5,000 | \$1,700,000 |
| Public owned treatment facilities with a Design Average flow rate at least 500,000 gallons but less than 1,000,000 per day | 415 ILCS 5/12.5 New | IEPA | | \$7,500 | \$787,500 |
| Public owned treatment facilities with a Design Average flow rate at least 1,000,000 gallons but less than 5,000,000 per day | 415 ILCS 5/12.5 New | IEPA | | \$15,000 | \$2,085,000 |
| Public owned treatment facilities with a Design Average flow rate at least 5,000,000 gallons but less than 10,000,000 per day | 415 ILCS 5/12.5 New | IEPA | | \$30,000 | \$690,000 |
| Public owned treatment facilities with a Design Average flow rate at least 10,000,000 or more gallons a day | 415 ILCS 5/12.5 New | IEPA | | \$50,000 | \$1,800,000 |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|--|------------------------|---------------|-----------------|----------------|----------------------|
| NPDES permits for treatment works or sewer collection systems that include combined sewer overflow. For systems serving a tributary population of 10,000 or less | 415 ILCS 5/12.5 New | IEPA | | \$1,000 | \$26,000 |
| NPDES permits for treatment works or sewer collection systems that include combined sewer overflow. For systems serving a tributary population of 10,000 but not more than 25,000 | 415 ILCS 5/12.5 New | IEPA | | \$5,000 | \$170,000 |
| NPDES permits for treatment works or sewer collection systems that include combined sewer overflow. For systems serving a tributary population of 25,000 or more | 415 ILCS 5/12.5 New | IEPA | | \$20,000 | \$740,000 |
| Additional fees for NPDES permits for coal mines | 415 ILCS 5/12.5 New | IEPA | | \$5,000 | \$500,000 |
| Additional fees for mines other than coal | 415 ILCS 5/12.5 New | IEPA | | \$5,000 | \$1,560,000 |
| Additional fees for NPDES permits for industrial activity where toxic substances are not regulated. For a facility with a Design Average Flow Rate of less than 10,000 gallons per day | 415 ILCS 5/12.5 New | IEPA | | \$1,000 | \$189,000 |
| Additional fees for NPDES permits for industrial activity where toxic substances are not regulated. For a facility with a Design Average Flow Rate of more than 10,000 gallons per day but less than 100,000 per day | 415 ILCS 5/12.5 New | IEPA | | \$2,500 | \$355,000 |
| Additional fees for NPDES permits for industrial activity where toxic substances are not regulated. For a facility with a Design Average Flow Rate of more than 100,000 gallons per day | 415 ILCS 5/12.5 New | IEPA | | \$10,000 | \$1,580,000 |
| For NPDES permits where toxic substances are regulated, and the facility has an Average Flow Rate of no more than 250,000 gallons per day | 415 ILCS 5/12.5 New | IEPA | | \$15,000 | \$2,370,000 |
| For NPDES permits where toxic substances are regulated, and the facility has an Average Flow Rate of more than 250,000 gallons per day | 415 ILCS 5/12.5 New | IEPA | | \$20,000 | \$1,160,000 |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|--|------------------------|---------------|---|---|------------------------|
| For NPDES permits for industrial activity classified by the USEPA as a major discharge for a facility where toxic substances are not regulated | 415 ILCS 5/12.5 New | IEPA | | \$30,000 | \$480,000 |
| For NPDES permits for industrial activity classified by the USEPA as a major discharge for a facility where toxic substances are regulated | 415 ILCS 5/12.5 New | IEPA | | \$50,000 | \$3,250,000 |
| NPDES fee for a construction site or industrial storm water | 415 ILCS 5/12.5 New | IEPA | | \$500 | \$1,237,500 |
| Fee for authorizing sludge on land with a sludge generator permit | 415 ILCS 5/12.5 New | IEPA | | \$2,500 | \$1,275,000 |
| Sludge user permit | 415 ILCS 5/12.5 New | IEPA | | \$5,000 | \$90,000 |
| Fee for State water quality certification | 415 ILCS 5/12.6 New | IEPA | | \$350 or 1% of gross value of the proposed project whichever is greater, but not to exceed \$10,000 | \$249,000 |
| Construction permit to install or extend a water main that is more than 200 feet but not more than 1,000 feet | 415 ILCS 5/16.1 | IEPA | \$120 | \$240 | \$44,880 |
| Construction permit to install or extend a water main that is more than 1,000 feet but not more than 5,000 feet | 415 ILCS 5/16.1 | IEPA | \$360 | \$720 | \$237,240 |
| Construction permit to install or extend a water main that is more than 5,000 feet | 415 ILCS 5/16.1 | IEPA | \$600 | \$1,200 | \$127,200 |
| Non-hazardous waste disposal fee for more than 150,000 cubic yards (fee per cubic yard) | 415 ILCS 5/22.15 | IEPA | | | Paid to municipalities |
| Maximum limit on fees collected for non-hazardous solid waste | 415 ILCS 5/22.15 | IEPA | Not to exceed \$1.05 per cubic yard or \$2.22 per ton | Not to exceed \$1.55 per cubic yard or \$3.27 per ton | Paid to municipalities |
| Fee for owner or operator to pay for disposal site containing 100,000 cubic yards but not more than 150,000 cubic yards | 415 ILCS 5/22.15 | IEPA | \$25,000 | \$52,630 | \$55,260 |
| Non-hazardous waste disposal fee for more than 150,000 cubic yards. Fee per ton of solid waste | 415 ILCS 5/22.15 | IEPA | .95/ton | \$2.00/ton | \$12,170,729 |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|------------------|---------------|--|---|-------------------------|
| Fee for owner or operator to pay for disposal site containing 50,000 cubic yards but not more than 100,000 cubic yards | 45 ILCS 5/22.15 | IEPA | \$11,300 | \$23,790 | \$74,940 |
| Fee for owner or operator to pay for disposal site containing 10,000 cubic yards but not more than 50,000 cubic yards | 415 ILCS 5/22.15 | IEPA | \$3,450 | \$7,260 | \$7,620 |
| Fee for owner or operator to pay for disposal site containing not more than 10,000 cubic yards | 415 ILCS 5/22.15 | IEPA | \$500 | \$1,050 | \$550 |
| Fees for sanitary landfills if more than 150,000 cubic yards of non-hazardous solid waste if permanently disposed during a calendar year | 415 ILCS 5/22.44 | IEPA | 5.5 cents per cubic yard or 12 cents per ton | 10.1 cents per cubic foot or 22 cents per ton | Paid to municipal-ities |
| Fees for sanitary landfills if more than 150,000 cubic yards of non-hazardous solid waste if permanently disposed during a calendar year. Fee per ton | 415 ILCS 22.44 | IEPA | .12 cents per ton | .22 cents per ton | \$992,966 |
| Fees for sanitary landfills if not more than 150,000 cubic yards of non-hazardous solid waste if permanently disposed during a calendar year | 415 ILCS 5/22.44 | IEPA | \$3,825 | \$7,020 | \$6,390 |
| Fee for sanitary landfills if more than 50,000 cubic yards but not more than 100,000 cubic yards of non-hazardous solid waste if permanently disposed during a calendar year | 415 ILCS 5/22.44 | IEPA | \$1,700 | \$3,120 | \$8,520 |
| Fees for sanitary landfills if more than 10,000 cubic yards but not more than 50,000 cubic yards of non-hazardous solid waste if permanently disposed during a calendar year | 415 ILCS 5/22.44 | IEPA | \$530 | \$975 | \$890 |
| Fees for sanitary landfills if not more than 10,000 cubic yards of non-hazardous solid waste if permanently disposed during a calendar year | 415 ILCS 5/22.44 | IEPA | \$110 | \$210 | \$100 |
| Fees collected from owners or operators of hazardous waste disposal sites receiving hazardous waste if the disposal site is located off the site where the waste was produced | 415 ILCS 5/22.8 | IEPA | \$35,000 | \$70,000 beginning in 2004 | \$70,000 |
| Fees collected from owners or operators of hazardous waste disposal sites receiving hazardous waste if the disposal site is located on the site where the waste was produced | 415 ILCS 5/22.8 | IEPA | \$9,000 | \$18,000 beginning in 2004 | \$18,000 |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|--|------------------------|---------------|--|---|----------------------|
| Fees collected from owners or operators of hazardous waste disposal sites receiving hazardous waste if the disposal site is located in an underground injection well | 415 ILCS 5/22.8 | IEPA | \$7,000 | \$14,000 beginning in 2004 | \$14,000 |
| Fee collected by an owner or operator of a hazardous waste facility treating waste by incineration | 415 ILCS 5/22.8 | IEPA | \$2,000 | \$4,000 beginning in 2004 | \$8,000 |
| Fee collected by an owner or operator of a hazardous waste facility treating waste by any means other than incineration | 415 ILCS 5/22.8 | IEPA | \$1,000 | \$2,000 beginning in 2004 | \$15,000 |
| Fee collected by an owner or operator of a hazardous waste facility treating waste in a surface impoundment or pile | 415 ILCS 5/22.8 | IEPA | \$1,000 | \$2,000 beginning in 2004 | \$6,000 |
| Fee collected by an owner or operator of a hazardous waste facility treating waste other than in a surface impoundment or pile | 415 ILCS 5/22.8 | IEPA | \$250 | \$500 beginning in 2004 | \$8,250 |
| Fee for a large quantity hazardous waste generator to submit an annual or biennial report for hazardous waste generation | 415 ILCS 5/22.8 | IEPA | | \$500 beginning in 2004 | \$514,000 |
| Fee for manifests provided by the agency | 415 ILCS 5/22.8 | IEPA | \$1 per manifest | \$3 per manifest | \$976,941 |
| Fee for a source allowed to emit less than 100 tons per year | 415 ILCS 5/39.5 | IEPA | \$1,000 per year | \$1,800 per year | \$355,200 |
| Annual fee for a source to allow more than 100 tons or more of any combination of air pollutants | 415 ILCS 5/39.5 | IEPA | \$13.50 per ton but no more than \$100,000 | \$18.00 per ton but no more than \$250,000 | \$4,489,663 |
| Fee for each potentially infectious medical waste | 415 ILCS 5/56.4 | IEPA | \$2.00 | \$4.00 | \$342,342 |
| Medical Waste Hauling Application Fee | 415 ILCS 5/56.5 | IEPA | \$1,000 | \$2,000 | \$15,000 |
| Fee for transporting medical waste with permit | 415 ILCS 5/56.6 | IEPA | 1.5 cents per pounds | 3 cents per pound | \$679,500 |
| Fee for transporting medical waste without a permit | 415 ILCS 5/56.6 | IEPA | 1.5 cents per pound | 3 cents per pound | See Above |
| Fee for storing medical waste only if fee was not paid by transporter | 415 ILCS 5/56.6 | IEPA | 1.5 cents per pound | 3 cents per pound | See Above |
| Construction permit fees for air pollution sources | 415 ILCS 5/9.12 New | IEPA | | \$4,000 for the first emissions unit \$1,000 for each additional emissions unit, cannot exceed \$10,000 | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|------------------------|---------------|-----------------|---|----------------------|
| Modifying emissions unit | 415 ILCS 5/9.12 New | IEPA | | \$2,000 for first modified emissions unit and \$1,000 for each additional unit, cannot exceed \$5,000 | * |
| Emissions unit not subject to this act | 415 ILCS 5/9.12 New | IEPA | | \$5,000 | * |
| A construction permit from a commercial incinerator, municipal waste, hazardous waste, tire waste incinerator, commercial power generator | 415 ILCS 5/9.12 New | IEPA | | \$25,000 | * |
| Construction permit for a emissions netting exercise | 415 ILCS 5/9.12 New | IEPA | | \$3,000 per pollutant | * |
| New major source subject to the federal PSD program | 415 ILCS 5/9.12 New | IEPA | | \$12,000 | * |
| New major source subject to non-attainment new source review | 415 ILCS 5/9.12 New | IEPA | | \$20,000 | * |
| For a major modification subject to the Federal PSD Program | 415 ILCS 5/9.12 New | IEPA | | \$6,000 | * |
| Modification subject to non-attainment new source review | 415 ILCS 5/9.12 New | IEPA | | \$12,000 | * |
| Review involving a determination of whether an emissions unit has clean unit status | 415 ILCS 5/9.12 New | IEPA | | \$5,000 per unit | * |
| Involves determination of the Maximum Achievable Control Technology | 415 ILCS 5/9.12 New | IEPA | | \$5,000 per unit | * |
| Construction permit to alter the sources status so that it is no longer a major source | 415 ILCS 5/9.12 New | IEPA | | \$4,000 | * |
| Fee for public meeting regarding construction permit application | 415 ILCS 5/9.12 New | IEPA | | \$10,000 | * |
| Base fees for construction permit for single emissions unit | 415 ILCS 5/9.12 New | IEPA | | \$500 | * |
| Base fee for construction permit application involving 2 modified emissions units | 415 ILCS 5/9.12 New | IEPA | | \$500 | * |
| Base fees for a construction permit application for 2 or more emissions units | 415 ILCS 5/9.12 New | IEPA | | \$1,000 | * |
| Supplemental fees if the source is a new source and does not currently have an operating permit | 415 ILCS 5/9.12 New | IEPA | | \$500 | * |
| If the construction permit application involves a new source such as an incinerator, municipal waste, hazardous waste, a commercial power generator | 415 ILCS 5/9.12 New | IEPA | | \$15,000 | * |
| Administrative fee for public hearing | 415 ILCS 5/9.12 New | IEPA | | \$10,000 | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|------------------------------------|------------------------|---------------|--|--------------------------|----------------------|
| If no other fee is applicable | 415 ILCS 5/9.12 New | IEPA | | \$500 | * |
| Air pollution operating permit fee | 415 ILCS 5/9.6 | IEPA | Less than 25 tons per year of air pollutants, \$100 per year | Becomes \$200 per year | \$517,800 |
| Air pollution operating permit fee | 415 ILCS 5/9.6 | IEPA | At least 25 tons but less than 100 tons, \$1,000 | Becomes \$1,800 per year | \$510,800 |
| Air pollution operating permit fee | 415 ILCS 5/9.6 | IEPA | At least 100 tons per year, \$2,500 per year | Becomes \$3,500 | \$262,000 |
| Department Totals | | | | | \$49,806,715 |

*Unknown at this time. The IEPA estimates that there will be approximately \$2 million in additional revenue from construction permit fees. This amount has been included in the total amount for the IEPA.

| ILLINOIS COMMERCE COMMISSION | | | | | |
|--|------------------------|---------------|--|--|-----------------------|
| SB 1903 – P.A. 93-0032 | | | | | |
| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
| Changes for public utility companies to issue stocks | 220 ILCS 5.6-108 | ICC | 10 cents for every \$100 of the par or stated value of stocks | 12 cents for every \$100 of the par or stated value of stocks | \$-25,244.00* |
| Charges for public utility companies to issue bonds | 220 ILCS 5.6-108 | ICC | 20 cents for every \$100 | 24 cents for every \$100 | \$-1,701,558* |
| Railroad mileage fee per route mile | 625-ILCS 5/18C-1502.05 | ICC | \$37 per mile | \$45 per mile | \$56,105.28 |
| Railroad Highway Grade Crossing and Grade Separation Fee | 625 ILCS 18C-1502.10C | ICC | \$23 for each location at which the rail carriers track crosses a public highway | \$28 for each location that a rail carriers track crosses a public highway | \$54,940.00 |
| Franchise Fee for motor carriers | 625 ILCS 18C-1502.10C | ICC | Not to exceed \$5 | Not to exceed \$15 | \$1,166,850.00 |
| Department Totals | | | | | \$1,423,010.22 |

*Comments: Estimated revenue has been calculated by comparing FY03 data to projected FY04 data. In the two columns above when Estimated Revenue shows a negative amount, the Governor's Office of Management and Budget's project \$100,000, in additional revenue from both of these increases. Since FY03 was an extraordinary year for securities, and the Illinois Commerce Commission is projecting significantly less securities for FY04 and, when multiplied a negative amount was achieved.

DEPT. OF FINANCIAL INSTITUTIONS
SB 1903 – P.A. 93-0032

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|--|-----------------|---------------|--|--|----------------------|
| Regulatory Fees (Credit Unions Total Assets of \$25,000 or less) | 205 ILCS 305/12 | IDFI | \$100 | \$150 | \$50 |
| Regulatory Fees (Credit Unions Total Assets over \$25,000 and not over \$100,000) | 205 ILCS 305/12 | IDFI | \$100 plus \$4 per \$1,000 of assets in excess of \$25,000 | \$150 plus \$6 per \$1,000 in excess of \$25,000 | \$758 |
| Regulatory Fees (Credit Unions Total Assets over \$100,000 and not over \$200,000) | 205 ILCS 305/12 | IDFI | \$400 plus \$3 per \$1,000 of assets in excess of \$100,000 | \$600 plus \$4.50 per \$1,000 in excess of \$100,000 | \$1,315 |
| Regulatory Fees (Credit Unions Total Assets over \$200,000 and not over \$500,000) | 205 ILCS 305/12 | IDFI | \$700 plus \$2 per \$1,000 of assets in excess of \$200,000 | \$1,050 plus \$3 per \$1,000 in excess of \$200,000 | \$9,622 |
| Regulatory Fees (Credit Unions Total Assets over \$500,000 and not over \$1,000,000) | 205 ILCS 305/12 | IDFI | \$1,300 plus \$1.40 per \$1,000 of assets in excess of \$500,000 | \$1,950 plus \$2.10 per \$1,000 in excess of \$500,000 | \$29,395 |
| Regulatory Fees (Credit Unions Total Assets over \$1,000,000 and not over \$5,000,000) | 205 ILCS 305/12 | IDFI | \$2,000 plus \$.50 per \$1,000 of assets in excess of \$1,000,000 | \$3,000 plus \$.75 per \$1,000 in excess of \$1,000,000 | \$147,080 |
| Regulatory Fees (Credit Unions Total Assets over \$5,000,000 and not over \$30,000,000) | 205 ILCS 305/12 | IDFI | \$4,000 plus \$.35 per \$1,000 of assets in excess of \$5,000,000 | \$6,000 plus \$.525 per \$1,000 in excess of \$5,000,000 | \$496,093 |
| Regulatory Fees (Credit Unions Total Assets over \$30,000,000 and not over \$100,000,000) | 205 ILCS 305/12 | IDFI | \$12,750 plus \$.30 per \$1,000 of assets in excess of \$30,000,000 | \$19,125 plus \$.45 per \$1,000 in excess of \$30,000,000 | \$336,925 |
| Regulatory Fees (Credit Unions Total Assets over \$100,000,000 and not over \$500,000,000) | 205 ILCS 305/12 | IDFI | \$33,750 plus \$.15 per \$1,000 of assets in excess of \$100,000,000 | \$50,625 plus \$.225 per \$1,000 in excess of \$100,000,000 | \$835,444 |
| Regulatory Fees (Credit Unions Total Assets over \$500,000,000) | 205 ILCS 305/12 | IDFI | \$93,750 plus \$.05 per \$1,000 of assets in excess of \$500,000,000 | \$140,625 plus \$.075 per \$1,000 in excess of \$500,000,000 | \$232,961 |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|-----------------|---------------|--|--|----------------------|
| Title Insurance Company registration (Retroactive to 01-01-2003) | 215 ILCS 155/14 | IDFI | \$1 for each policy issued by all its agents in the preceding year \$200,000 maximum | \$3 for each policy issued by all its agents in the preceding year with no maximum | \$2,100,585* |
| Consumer Installment Loan License Fee | 205 ILCS 670/2 | IDFI | \$300 | \$450 | \$181,200 |
| Currency Exchange Examination Fee | 205 ILCS 405/16 | IDFI | \$150 per day | \$225 per day | \$98,550 |
| Department Totals | | | | | \$4,469,969 |

*If fee increase is not retroactive to January 1, 2003, the estimated revenue would be less than half the estimated amount according to IDFI.

OFFICE OF BANKS and REAL ESTATE
SB 1903 – P.A. 93-0032

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|--|-------------------|---------------|--|--|----------------------|
| Application Fee (Foreign Savings and Loan Associations) | 205 ILCS 105/2B-6 | OBRE | \$750 | \$1,125 | * |
| Renewal Fee (Foreign Savings and Loan Associations) | 205 ILCS 105/2B-6 | OBRE | \$200 | \$300 | * |
| Application process and investigative fee under the residential Mortgage License Act | 205 ILCS 635/2-2 | OBRE | \$1,800 annually | \$2,700 annually | \$1,944,000 |
| Mortgage Broker Application Fee | 205 ILCS 635/2-2 | OBRE | \$1,800 annually | \$2,700 annually | See Above |
| Additional Fees for License issuance and renewal if money is not received in timely manner | 205 ILCS 635/2-6 | OBRE | \$500 will be assessed to the licensee 30 days after the proper renewal date and \$1,000 each month thereafter | \$750 will be assessed to the licensee 30 days after the proper renewal date and \$1,500 each month thereafter | \$5,000.00 |
| Mortgage Broker License renewal late fees | 205 ILCS 635/2-6 | OBRE | \$500 assessed to licensee 30 days after renewal date, and \$1,000 each month thereafter | \$750 assessed to licensee 30 days after renewal date, and \$1,500 each month thereafter | \$5000.00 |
| Department Totals | | | | | \$1,954,000 |

*According to the OBRE, there are no Foreign Savings and Loan organizations operating in Illinois.

Comment: Many of the fee increases that fell under the OBRE are to be changed by rule and were not included in S.B. 1903 (P.A. 93-0032). The Governor's Office of Management and Budget estimates that the total increase from the fees changed by rule will be \$23.4 million.

DEPT. of REVENUE
SB 1903 – P.A. 93-0032

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|------------------------------|---------------|---|--|----------------------|
| Prepayment of Motor Fuel Tax (Gasoline) (21) | 35 ILCS 120/ 2D | DOR | .04/Gallon | .06/Gallon | \$3,600,000 |
| Prepayment of Motor Fuel Tax (Gasohol) (21) | 35 ILCS 120/ 2D | DOR | .03/Gallon | .05/Gallon | See Above |
| Refund given to sellers of motor fuel if return is filed timely | 35 ILCS 505/2b | DOR | 2% | 1.75% | See Above |
| Refund given to distributors of motor fuel if return is filed timely | 35 ILCS 505/6 | DOR | 2% | 1.75% | \$22,500,000 |
| Refund given to suppliers of motor fuel if return is filed timely | 35 ILCS 505/6a | DOR | 2% | 1.75% | See Above |
| Collection penalty fees | 35 ILCS 735/3-4.5 New | DOR | | \$30 if the amount the taxpayer owes is less than \$1,000 | \$10,500 |
| Collection penalty fees | 35 ILCS 735/3-4.5 New | DOR | | \$100 if the amount the taxpayer owes is more than \$1,000 | See Above |
| Penalty for failure to pay the tax shown due or required to be shown on a return | 35 ILCS 735/3-3 | DOR | 2% of any amount that is paid no later than 30 days after the due date, 5% of any amount that is paid more than 30 days but less than 90 days, 10% of any amount that is paid more than 90 days but less than 180 days late, 15% any amount over 180 days late | 2% of any amount that is paid no later than 30 days after the due date, 10% of any amount that is paid more than 30 days but less than 90 days, 15% of any amount that is paid more than 90 days but less than 180 days late, 20% any amount over 180 days late | \$6,000,000 |
| Delinquent Account Fees | 35 ILCS 735/3-3 | DOR | No later than 30 days: 2%, 30-90 days: 5% 90-180 days: 10% if delinquency is longer than 180 days: 15% | No later than 30 days: 2%, 30-90 days: 10%, 90-180 days: 15% if delinquency is longer than 180 days: 20% | See Above |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|------------------------|---------------|-----------------------|---|-----------------------------|
| Penalty for failure to file a return or to show in a timely return the full amount of any tax required to be shown due | 35 ILCS 735/3-3 | DOR | | 5% of any amount of tax that is shown on a return or amended returns filed prior to the date the Department has initiated an audit or investigation. 10% of any amount of tax that is shown on a returned or amended return filed on or after the date the Department initiates an audit or investigation. 20% of any amount that is not reported on a return or an amended return filed prior to the date of deficiency | See Above |
| Tire User Fee | 415 ILCS 5/55.8 | DOR | \$1 per tire | \$2 per tire | \$6,200,000 |
| Additional tire user fee | 415 ILCS 5/55.8 | DOR | | .50 cents per tire | \$3,100,000 |
| Discount on motor fuel for filing in a timely manner | 415 ILCS 125/315 | DOR | 2% thru June 30, 2003 | 1.75% thereafter | See Above 35 ILCS 505/2b |
| Coin Operated Amusement Device Fee | 35 ILCS 510/1 | DOR | \$15 | \$30 | \$1,265,750 |
| Department Totals | | | | | \$33,665,750 |

SECRETARY OF STATE
SB 1903 – P.A. 93-0032

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|------------------------|---------------|--------------------|---|----------------------|
| Returned Check Fee | 15 ILCS 305/5.5 | SOS | \$2 | \$25 | \$32,000 |
| Research Request Charge (Out of State Residents and Non-Illinois Residents) | 15 ILCS 305/5.5 | SOS | | \$10 | \$300,000 |
| Contract Administration Fees | 20 ILCS 3105/9.02a | SOS | Not to exceed 1.5% | Not to exceed 3% | |
| Lobbyist Registration Fee (Persons) | 25 ILCS 170/5 | SOS | \$50 | \$300 | \$742,500 |
| Lobbyist Registration Fee (Entities) | 25 ILCS 170/5 | SOS | \$50 | \$100 | \$74,250 |
| Additional fees for personalized license plates | 625 ILCS 5/3-806.5 New | SOS | | \$47 for each set of personalized plates in addition to normal registration fee of \$78 | \$10,000,000 |
| Additional renewal fees for the renewal of personalized plates | 625 ILCS 5/3-806.5 New | SOS | | \$7 for renewal of each set of personalized license plates | * |
| Fees for drive away permits | 625 ILCS 5/3-811 | SOS | \$6 | \$10 | See explanation |
| Fees for one trip permits | 625 ILCS 5/3-811 | SOS | \$6 | \$10 | See explanation |
| Non-resident one trip permits | 625 ILCS 5/3-811 | SOS | \$6 | \$10 | See explanation |
| Application for a new motor vehicle dealers license | 625 ILCS 5/5-101 | SOS | \$100 | \$1,000 | * |
| Fee for each additional place of business (new motor vehicle dealers) | 625 ILCS 5/5-101 | SOS | \$50 | \$100 | * |
| Application for a new motor vehicle dealers license if received after June 15 th any year | 625 ILCS 5/5-101 | SOS | \$50 | \$500 | * |
| Application for a new motor vehicle dealers additional place of business license if received after June 15 th any year | 625 ILCS 5/5-101 | SOS | \$25 | \$50 | * |
| Application for a new vehicle dealers license fee | 625 ILCS 5/5-101 | SOS | \$50 | \$1,000 | * |
| Fee for each additional place of business | 625 ILCS 5/5-101 | SOS | \$25 | \$50 | * |
| Application for a vehicle dealers license after June 15 th of any year | 625 ILCS 5/5-101 | SOS | \$25 | \$500 | * |
| Additional fee for each additional place of business if received after June 15 th | 625 ILCS 5/5-101 | SOS | \$12.50 | \$25 | * |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|---------------------|---------------|-----------------|----------------|---------------------------------------|
| Application for a used vehicle dealers license | 625 ILCS 5/5-102 | SOS | \$50 | \$1,000 | \$3,300,000 |
| Application fee for a used vehicle dealer license for additional locations | 625 ILCS 5/5-102 | SOS | \$25 | \$50 | * |
| Application fee for a used vehicle dealers license if application is received after June 15 th | 625 ILCS 5/5-102 | SOS | \$25 | \$500 | * |
| Fee for motor vehicle operated by a public carrier | 625 ILCS 5/18c-1501 | SOS | \$5 | \$15 | * |
| Application for a used vehicle dealers license for additional locations if received after June 15 th | 625 ILCS 5/5-102 | SOS | \$12.50 | \$25 | * |
| Reinstatement fee for a summary suspended license | 625 ILCS 5/6-118 | SOS | \$60 | \$250 | \$4,700,000 |
| Reinstatement fee for a other type of suspended license | 625 ILCS 5/6-118 | SOS | \$30 | \$70 | \$2,800,000 |
| Reinstatement fee for a revoked drivers license | 625 ILCS 5/6-118 | SOS | \$60 | \$500 | \$1,400,000 |
| Reinstatement fee if drivers license has been under summary suspension for a second or subsequent time | 625 ILCS 5/6-118 | SOS | \$250 | \$500 | * |
| Reinstatement fee if drivers license has been under revocation for a second or subsequent time | 625 ILCS 5/6-118 | SOS | \$250 | \$500 | * |
| Obligors compliance fee | 625 ILCS 5/7-707 | SOS | \$30 | \$70 | * |
| Expedited services for limited liability companies for reinstated articles of organization | 805 ILCS 180/50-50 | SOS | \$100 | \$200 | ¹ See comments for details |
| Expedited services for limited liability companies for merger or conversion | 805 ILCS 180/50-50 | SOS | \$100 | \$200 | ¹ See comments for details |
| Expedited services for limited liability companies for articles of organization | 805 ILCS 180/50-50 | SOS | \$50 | \$100 | ¹ See comments for details |
| Expedited services for limited liability companies for articles of amendment | 805 ILCS 180/50-50 | SOS | \$50 | \$100 | ¹ See comments for details |
| Expedited services for limited liability companies for reinstatement | 805 ILCS 180/50-50 | SOS | \$50 | \$100 | ¹ See comments for details |
| Expedited services for limited liability companies for application for admission to transact business | 805 ILCS 180/50-50 | SOS | \$50 | \$100 | ¹ See comments for details |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|--------------------|---------------|--|----------------|--|
| Expedited services for limited liability companies for application for certificate of good standing or abstract of computer record | 805 ILCS 180/50-50 | SOS | \$10 | \$20 | ¹ See comments for details |
| Expedited services for limited liability companies for application for all other filings | 805 ILCS 180/50-50 | SOS | \$25 | \$50 | ¹ See comments for details |
| Fees for Limited Partnership certificates, certificates of admission, reinstated certificates of limited partnership, and reinstated certificates of admission (foreign and domestic) | 805 ILCS 210/1102 | SOS | \$75 | \$150 | * |
| Fees for limited partnerships for filing certificates | 805 ILCS 210/1102 | SOS | \$25 | \$50 | |
| Fees for limited partnerships for filing amendments and certificates of amendments | 805 ILCS 210/1102 | SOS | \$25 | \$50 | \$110,000 |
| Fees for limited partnerships for filing a renewal report of a domestic or foreign limited partnership | 805 ILCS 210/1102 | SOS | \$15 | \$150 | \$310,000 |
| Fees for limited partnerships for filing for reinstatement of a domestic or foreign limited partnership | 805 ILCS 210/1102 | SOS | \$100 | \$200 | \$250,000 |
| Fees for limited partnerships for filing any other document | 805 ILCS 210/1102 | SOS | \$5 | \$50 | |
| Fees for limited partnerships for furnishing a copy or a certified copy of any document | 805 ILCS 210/1102 | SOS | .50 cents per page but not less than \$5 and \$5 for the certificate and for affixing the seal | \$25 | \$10,000 |
| Fees for expedited services for limited partnerships for merger or conversion | 805 ILCS 210/1111 | SOS | \$100 | \$200 | ² See comments from details |
| Fees for expedited services for limited partnerships for certificate of limited partnerships | 805 ILCS 210/1111 | SOS | \$50 | \$100 | ² See comments from details |
| Fees for expedited services for limited partnerships for certificate of amendment | 805 ILCS 210/1111 | SOS | \$50 | \$100 | ² See comments from details |
| Fees for expedited services for limited partnerships for reinstatement | 805 ILCS 210/1111 | SOS | \$50 | \$100 | ² See comments from details |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|--|-------------------|---------------|--|----------------|--|
| Fees for expedited services for limited partnerships for application for admission to transact business | 805 ILCS 210/1111 | SOS | \$50 | \$100 | ² See comments from details |
| Fees for expedited services for limited partnerships for certificate of cancellation of admission | 805 ILCS 210/1111 | SOS | \$50 | \$100 | ² See comments from details |
| Fees for expedited services for limited partnerships for certificate of existence or abstract of computer record | 805 ILCS 210/1111 | SOS | \$10 | \$20 | ² See comments from details |
| All other expedited filings of can-celled limited partnerships | 805 ILCS 210/1111 | SOS | \$25 | \$50 | ² See comments from details |
| Fees for filing documents of incorporation | 805 ILCS 5/15.10 | SOS | \$75 | \$150 | |
| Filing articles of amendment | 805 ILCS 5/15.10 | SOS | \$25 | \$50 | \$100,000 |
| Filing articles of amendment that are a restatement of the articles of incorporation | 805 ILCS 5/15.10 | SOS | \$100 | \$150 | |
| Filing statement of change of address of registered office or change of registered agent | 805 ILCS 5/15.10 | SOS | \$5 | \$25 | \$100,000 |
| Filing an application for a foreign business wishing to conduct business in this State | 805 ILCS 5/15.10 | SOS | \$75 | \$150 | \$300,000 |
| Filing an amendment to articles of incorporation for a foreign corporation | 805 ILCS 5/15.10 | SOS | \$25 | \$50 | * |
| Filing an amendment to the articles of incorporation that is a restatement of the original articles of incorporation | 805 ILCS 5/15.10 | SOS | \$100 | \$150 | * |
| Filing an annual report, interim annual report, or final transaction report for a foreign or domestic corporation | 805 ILCS 5/15.10 | SOS | \$25 | \$75 | \$15,000,000 |
| Filing an application for the reinstatement of a domestic or foreign corporation | 805 ILCS 5/15.10 | SOS | \$100 | \$200 | \$1,100,000 |
| Filing a statement of correction | 805 ILCS 5/15.10 | SOS | \$25 | \$50 | \$300,000 |
| Fee for furnishing a certified copy of any document | 805 ILCS 5/15.15 | SOS | .50 cents per page but not less than \$5 and \$5 for the certificate and for affixing the seal | \$25 | \$300,000 |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|------------------|---------------|--|--|---------------------------------------|
| Rate of franchise taxes paid by domestic corporations | 805 ILCS 5/15.45 | SOS | Annual franchise tax cannot be less than \$25 or more than \$1,000,000 per annum | Annual franchise tax cannot be less than \$25 or more than \$2,000,000 per annum | ³ See comments for details |
| Rate of franchise taxes paid by foreign corporations | 805 ILCS 5/15.75 | SOS | Annual franchise tax cannot be less than \$25 or more than \$1,000,000 per annum | Annual franchise tax cannot be less than \$25 or more than \$2,000,000 per annum | ³ See comments for details |
| Expedited service fees for restatement of articles | 805 ILCS 5/15.95 | SOS | \$100 | \$200 | ⁴ See comments for details |
| Expedited service fees for merger, consolidation or exchange | 805 ILCS 5/15.95 | SOS | \$100 | \$200 | ⁴ See comments for details |
| Expedited service fees for articles of incorporation | 805 ILCS 5/15.95 | SOS | \$50 | \$100 | ⁴ See comments for details |
| Expedited service fees for articles of amendment | 805 ILCS 5/15.95 | SOS | \$50 | \$100 | ⁴ See comments for details |
| Expedited service fees for revocation or dissolution | 805 ILCS 5/15.95 | SOS | \$50 | \$100 | ⁴ See comments for details |
| Expedited service fees for reinstatement | 805 ILCS 5/15.95 | SOS | \$50 | \$100 | ⁴ See comments for details |
| Expedited service fees for application for authority | 805 ILCS 5/15.95 | SOS | \$50 | \$100 | ⁴ See comments for details |
| Expedited service fees for cumulative report of changes in issued shares or paid in capitol | 805 ILCS 5/15.95 | SOS | \$50 | \$100 | ⁴ See comments for details |
| Expedited service fee for report following merger or consolidation | 805 ILCS 5/15.95 | SOS | \$50 | \$100 | ⁴ See comments for details |
| Expedited service fee for a certificate of good standing | 805 ILCS 5/15.95 | SOS | \$10 | \$20 | ⁴ See comments for details |
| Expedited service fee for documents for the 3 preceding years | 805 ILCS 5/15.95 | SOS | \$25 | \$50 | ⁴ See comments for details |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|------------------------|---------------|-----------------|--|----------------------|
| Filing articles of organization of limited liability companies (domestic), application for admission (foreign), and reinstated articles of organization (Domestic) | 805 ILCS 180/50-10 | SOS | \$400 | \$500 | * |
| Fee for limited liability organizations for filing amendments for other than change of registered agent name or registered office or both | 805 ILCS 180/50-11 | SOS | \$100 | \$150 | * |
| Fee for limited liability organizations for filing amendments for changing of registered agent name or registered office or both | 805 ILCS 180/50-11 | SOS | \$25 | \$35 | * |
| Amends the Illinois Securities Law of 1953 by charging fees on securities offered or sold under the Uniform Limited Offering Exemption | 805 ILCS 5/18.1 New | SOS | | \$100 | \$200,000 |
| Amends the Illinois Securities Law of 1953 by charging fees on the registration of a dealer | 805 ILCS 5/18.1 New | SOS | | \$300 | * |
| Amends the Illinois Securities Law of 1953 by charging fees on the registration and renewal of an investment advisor | 805 ILCS 5/18.1 New | SOS | | \$200 | * |
| Amends the Illinois Securities Law of 1953 by charging fees on the Federal covered investment advisor notification filing and annual notification filing | 805 ILCS 5/18.1 New | SOS | | \$200 | \$100,000 |
| Amends the Illinois Securities Law of 1953 by charging fees on the registration and renewal of a sales-person | 805 ILCS 5/18.1 New | SOS | | \$75 | \$300,000 |
| Amends the Illinois Securities Law of 1953 by charging fees on the registration and renewal of an investment advisor representative and a federal covered investment advisor representative | 805 ILCS 5/18.1 New | SOS | | \$75 | \$200,000 |
| Amends the Illinois Securities Law of 1953 by charging fees on investment fund shares notification filing and annual notification filing | 805 ILCS 5/18.1 New | SOS | | \$800 plus \$80 for each series, class, or portfolio | \$2,700,000 |
| Fee for furnishing vehicle data, driver data to applicants | 625 ILCS 5/2-123 | SOS | \$250 | \$500 | |

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|---|------------------|---------------|------------------------------------|-------------------------------------|----------------------|
| Deposit for information request | 625 ILCS 5/2-123 | SOS | \$25 | \$50 | |
| Fee for a drivers record when being requested by affected driver or the affected drivers attorney | 625 ILCS 5/2-123 | SOS | \$6 | \$12 | * |
| Fee for furnishing driving record to person or agency | 625 ILCS 5/2-123 | SOS | \$6 | \$12 | \$36,000,000 |
| Secretary of State audit fee | 625 ILCS 5/2-124 | SOS | \$50 per day, or \$25 per half day | \$100 per day, or \$50 per half day | \$100,000 |
| Fee for a short term permit to operate a non-registered first or second division vehicle | 625 ILCS 5/3-403 | SOS | \$6 | \$10 | \$500,000 |
| Department Totals | | | | | \$121,425,750 |

COMMENTS:

¹The Office of the Secretary of State estimates that new revenue generated from fees associated with expedited services for limited liability companies total \$800,000.

²The Office of the Secretary of State estimates that new revenue generated from fees associated with expedited services from limited partnerships will total \$83,000.

³The increase in the franchise tax cap from \$1 million to \$2 million will generate \$4,000,000 in additional revenue. The Secretary of State's Office stated that only eight Illinois companies would be affected by this.

⁴The Office of Secretary of State estimates that new revenue generated from fees associated with expedited services for Business Corporations will total \$2,500,000.

* NOTE: The majority of revenue that will be gained from the fee increases is lumped into one category by the Secretary of State's Office. The fees in the category were mostly doubled from their past amounts. Many of the fees in this report for which there is no new revenue projection fall into this category. The Secretary of State's Office estimates that these fees will create an additional \$15 million in revenue.

| ILLINOIS INDUSTRIAL COMMISSION | | | | | |
|--|------------------------------|---------------|-----------------|---|----------------------------------|
| SB 1903 – P.A. 93-0032 | | | | | |
| Description | Statute | Agency | Past Fee | New Fee | Est. Rev. |
| Illinois Industrial Commission Operations Fund Surcharge | 215 ILCS 5/416 New | IC | | 1.5% of the companies direct premium for insuring employers' liabilities arising under the Workers' Compensation Act or Workers' Occupational Disease | \$19,000,000 |
| Penalty for delinquency in paying the Industrial Commission Operating Fund Surcharge of \$100 or more | 215 ILCS 5/416 New | IC | | The greater of \$1,000 or an amount equal to 5% of the deficiency for each month or part of a month that the deficiency remains unpaid | No Estimate See Comments* |
| Fee For Self-Insuring Employers | 820 ILCS 305/4D | IC | | 0.45% of employers annual wages | \$11,600,000 |
| Department Totals | | | | | \$30,600,000 |

The Department of Insurance will be the lead agency responsible for the collection of this surcharge. The Department of Insurance estimates that a gross total of \$31.6 million in additional revenue will be collected. However, retaliatory taxes from other states may reduce the amount according to the Industrial Commission to \$19.0 million.

Since the penalty is new, the Commission had no way to estimate potential revenue generated from this new penalty.

STATE TREASURER/ILLINOIS STATE POLICE**SB 1903 – P.A. 93-0032**

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|--------------------------------------|------------------|---------------|-----------------|--------------------------------|----------------------|
| Additional Penalty (Traffic Offense) | 730 ILCS 5/5-9-1 | Treasurer/ISP | | \$4 in addition to normal fine | \$4,987,800.00 |

CAPITAL DEVELOPMENT BOARD

SB 1903 - P.A. 93-0032

| Description | Statute | Agency | Past Fee | New Fee | Est. New Rev. |
|-----------------------------|-----------------------|---------------|-----------------------------------|---------------------------------|----------------------|
| Contract Administration Fee | 20 ILCS 3105/9.029 | CDB | 1.5% of the contract amount | 3% of the contract amount | \$3,500,000 |

Note: Sunsets on June 30, 2004.

SECTION II

TRANSFERS TO GENERAL REVENUE FUND

| DETAILED BREAKOUT OF FUND TRANSFER | | |
|---|---|---------------|
| One-Time Fund Transfers to GRF | | |
| Fund # | Fund Name | Amount |
| 0011 | Road | \$50,000,000 |
| 0072 | Underground Storage Tank | 12,100,000 |
| 0019 | Grade Crossing Protection | 6,500,000 |
| 0569 | School Technology Revolving Loan | 6,000,000 |
| 0763 | Tourism Promotion | 5,000,000 |
| 0973 | Build Illinois Capital Revolving Loan | 5,000,000 |
| 0286 | Illinois Affordable Housing Trust | 5,000,000 |
| 0771 | Digital Divide Elimination Infrastructure (No Approp.) | 4,000,000 |
| 0564 | Renewable Energy Resources | 3,000,000 |
| 0031 | Drivers Education | 2,500,000 |
| 0994 | Illinois Agricultural Loan Guarantee (RAL Loan Guarantee) | 2,500,000 |
| 0047 | Fire Prevention | 2,000,000 |
| 0262 | Mandatory Arbitration | 2,000,000 |
| 0900 | Petroleum Violation Fund | 2,000,000 |
| 0059 | Public Utility | 2,000,000 |
| 0362 | Securities Audit and Enforcement | 2,000,000 |
| 0171 | Solid Waste Management Revolving Loan | 2,000,000 |
| 0018 | Transportation Regulatory | 2,000,000 |
| 0613 | Wireless Carrier Reimbursement | 2,000,000 |
| 0012 | Motor Fuel Tax | 1,535,000 |
| 0299 | Open Space Lands Acquisition and Development | 1,510,000 |
| 0205 | Illinois Farmer and Agri-Business Loan Guarantee | 1,500,000 |
| 0093 | Illinois State Medical Disciplinary | 1,500,000 |
| 0057 | Illinois State Pharmacy Disciplinary | 1,500,000 |
| 0635 | Death Certificate Surcharge | 1,500,000 |
| 0637 | State Police Wireless Service Emergency | 1,200,000 |
| 0342 | Audit Expense | 1,000,000 |
| 0863 | Cycle Rider Safety Training | 1,000,000 |
| 0220 | DCFS Children's Services | 1,000,000 |
| 0888 | Design Professional Administration | 1,000,000 |
| 0571 | Energy Efficiency Trust | 1,000,000 |
| 0022 | General Profession's Dedicated | 1,000,000 |
| 0050 | Mental Health | 1,000,000 |
| 0126 | New Technology Recovery | 1,000,000 |
| 0962 | Park and Conservation | 1,000,000 |
| 0942 | Radioactive Waste Facility Development and Operation | 1,000,000 |
| 0151 | Registered CPA Administration and Disciplinary | 1,000,000 |
| 0997 | Insurance Financial Regulation | 920,000 |
| 0244 | Savings and Residential Finance Regulatory | 850,000 |
| 0850 | Real Estate License Administration | 750,000 |
| 0610 | Energy Assistance Contribution | 750,000 |
| 0795 | Banks and Trust | 640,000 |
| 0632 | Horse Racing | 630,000 |
| 0040 | State Parks | 593,000 |
| 0821 | Dram Shop | 560,000 |
| 0215 | Capital Development Board Revolving | 500,000 |
| 0288 | Community Water Supply Lab | 500,000 |
| 0243 | Credit Union | 500,000 |
| 0014 | Food and Drug Safety | 500,000 |
| 0245 | Fire and Exposition | 500,000 |

| Fund # | Fund Name | Amount |
|---------------|---|----------------------|
| 0828 | Hazardous Waste | \$500,000 |
| 0975 | Large Business Attraction | 500,000 |
| 0796 | NUC Safety Emergency Preparedness | 460,000 |
| 0175 | Illinois School Asbestos Abatement | 400,000 |
| 0372 | Plumbing Licensure and Program | 400,000 |
| 0021 | Financial Institutions | 300,000 |
| 0886 | Criminal Justice Information Systems Trust | 300,000 |
| 0237 | Medicaid Fraud/Abuse Prevent | 270,000 |
| 0945 | Landfill Closure and Post-Close | 250,000 |
| 0156 | Motor Vehicle Theft Prevention Trust | 250,000 |
| 0152 | State Crime Laboratory | 250,000 |
| 0879 | Traffic and Criminal Conviction Surcharge | 250,000 |
| 0067 | Radiation Protection | 240,000 |
| 0085 | Illinois Gaming Law Enforcement | 200,000 |
| 0536 | Leads Maintenance | 180,000 |
| 0757 | Child Support Administrative | 170,000 |
| 0709 | Illinois Thoroughbred Breeders | 160,000 |
| 0384 | Tax Compliance and Administration | 150,000 |
| 0074 | EPA State Projects Trust | 150,000 |
| 0137 | Plugging and Restoration | 120,000 |
| 0071 | Firearm Owners Notification | 110,000 |
| 0746 | Home Inspector Administration | 100,000 |
| 0574 | Off-Highway Vehicle Trails | 100,000 |
| 0222 | State Police DUI | 100,000 |
| 0261 | Underground Resource Conservation Enforcement | 100,000 |
| 0256 | Public Health Water Permit | 90,000 |
| 0246 | State Police Vehicle | 86,000 |
| 0147 | Coal Mining Regulatory | 80,000 |
| 0237 | Medicaid Fraud/Abuse Prevent | 80,000 |
| 0986 | Missing and Exploited Children Trust | 53,000 |
| 0641 | Auction Regulation Administration | 50,000 |
| 0543 | Comptroller's Administrative | 50,000 |
| 0173 | Emergency Planning and Training | 50,000 |
| 0750 | Real Estate Audit | 50,000 |
| 0733 | Tobacco Settlement Recovery | 50,000 |
| 0503 | Gang Crime Witness Protection | 46,000 |
| 0708 | Illinois Standardized Breeders | 35,000 |
| 0849 | Real Estate Research and Education | 30,000 |
| 0535 | Sex Offender Registration | 21,000 |
| 0281 | Illinois Tax Increment | 20,000 |
| 0357 | Child Labor Enforcement Trust | 15,000 |
| 0608 | Conservation 2000 | 15,000 |
| 0538 | Illinois Historic Sites | 15,000 |
| 0246 | State Police Vehicle | 15,000 |
| 0146 | Aggregate Operation Regulatory | 10,000 |
| 0386 | Appraisal Administration | 10,000 |
| 0145 | Explosives Regulatory | 4,000 |
| | TOTAL | \$153,923,000 |

SOURCE: SB 1903, HA #1 (P.A. 93-0032)

Note: These transfers to the GRF were made in July 2003 and were reflected in the Commission's July Monthly Revenue report.

SECTION III

OTHER FUND TRANSFERS

STATE FINANCE REVENUES ACT
SB 1903 -- P.A. 93-0032

| Transfer From | Transfer To | Amount | Comment |
|---------------------------------------|--|---------------|---|
| Communications Revolving Fund | Emergency Public Health Fund (New) | \$3,000,000 | One-time transfer for purposes in Section 55.6a in Environmental Protection Act |
| GRF | DHS Recoveries Trust Fund | \$6,800,000 | FY 2004 only |
| Underground Storage Tank Fund | Vehicle Inspection Fund | \$35,000,000 | FY 2004 only |
| GRF | Tobacco Settlement Recovery Fund | \$80,000,000 | Will be transferred back to GRF by June 30, 2004 |
| GRF | IL Military Family Relief Fund | \$5,000,000 | FY 2004 only |
| GRF | Presidential Library and Museum Operating Fund | \$1,922,000 | FY 2004 only |
| GRF | Budget Stabilization Fund | \$50,000,000 | FY 2004 only |
| | Income Tax Refund Fund | | Annual Percentage 11.7% (35 ILCS 5/201 (a), (b), (1), (2), (3)) |
| | Income Tax Refund Fund | | Annual Percentage 32% (35 ILCS 5/201 (a), (b), (6), (7), (8), (c), (d)) |
| Motor Fuel Tax Fund | Transportation Regulatory Fund | \$2,250,000 | Accounted for as part of the rail carrier portion to be used to pay the cost of administration of the ICC's railroad safety program |
| Motor Fuel Tax Fund | Vehicle Inspection Fund | \$15,000,000 | For administration of vehicle emissions inspection law |
| Illinois Clean Energy Community Trust | GO BR&I | \$125,000,000 | FY 2004 only – repayment of bonds issued and for deposit into funds administered by agencies responsible for environmental activities to assist in payment for environmental programs |
| GRF | Grape & Wine Resources Fund | \$500,000 | Was to end in FY 2004 but will end in FY 2003 |

STATE FINANCE REVENUES ACT
Additional Transfers
SB 1903 -- P.A. 93-0032

| Transfer From | Transfer To | Amount | Comment | Other |
|---|-------------|---------------|---|--|
| Various Interfund Transfers (see SB 1903 FY 2004 Fund Transfers to GRF) | GRF | \$153,923,000 | For FY 2004 only (for budget gap) | |
| Statewide Economic Development Fund | GRF | \$4,800,000 | For FY 2004 (for budget gap) | |
| Local Government Distributive Fund | GRF | \$6,666,666 | Not a transfer exactly, GRF will hold this amount back from its transfer to LGDF in FY 2004 | |
| Fire Prevention Fund | GRF | \$2,100,000 | Not a transfer exactly, GRF will automatically receive 50% of fees for certification of boilers and pressure vessels starting on 10/1/2003 that would have gone into the Fire Prevention Fund | Using 50% of FY 2003 amount would give = \$2.1 million |
| Feed Control Fund | GRF | | Not a transfer exactly, \$22 of the \$50 or \$0.16 per ton annual inspection fee shall be deposited directly into the GRF from FY 2004 on | |

BACKGROUND

The Illinois Economic and Fiscal Commission, a bipartisan, joint legislative commission, provides the General Assembly with information relevant to the Illinois economy, taxes and other sources of revenue and debt obligations of the State. The Commission's specific responsibilities include:

- 1) Preparation of annual revenue estimates with periodic updates;
- 2) Analysis of the fiscal impact of revenue bills;
- 3) Preparation of "State Debt Impact Notes" on legislation which would appropriate bond funds or increase bond authorization;
- 4) Periodic assessment of capital facility plans; and
- 5) Annual estimates of the liabilities of the State's group health insurance program and approval of contract renewals promulgated by the Department of Central Management Services.

The Commission also has a mandate to report to the General Assembly ". . . on economic trends in relation to long-range planning and budgeting; and to study and make such recommendations as it deems appropriate on local and regional economic and fiscal policies and on federal fiscal policy as it may affect Illinois. . . ." This results in several reports on various economic issues throughout the year.

The Commission publishes two primary reports. The "Revenue Estimate and Economic Outlook" describes and projects economic conditions and their impact on State revenues. "The Illinois Bond Watcher" examines the State's debt position as well as other issues directly related to conditions in the financial markets. The Commission also periodically publishes special topic reports that have or could have an impact on the economic well being of Illinois.

These reports are available from:

Illinois Economic and Fiscal Commission
703 Stratton Office Building
Springfield, Illinois 62706
(217) 782-5320
(217) 782-3513 (FAX)

Reports can also be accessed from our Webpage:

http://www.legis.state.il.us/commission/ecfisc/ecfisc_home.html